

THE CUSTOMER ACCOUNT TRANSFER DIVISION

A DIVISION OF THE SECURITIES INDUSTRY & FINANCIAL MARKETS ASSOCIATION

FALL Educational Seminar

Date: October 15, 2009 Time: 9:00 – 3:00 P.M. Cost: \$100.00 per person

Breakfast and Lunch will be provided

Topics:

ACATS Re-write Proposal - CNS Processing

Mutual Fund Transfer Enhancement

DTCC Update

Cost Basis

Process Service Improvement Subcommittee Update

SIFMA

120 Broadway, 2nd floor – New York, NY 10271-0080

Please send your reservation to the attention of Danielle Callan prior to your payment:

Email: DCallan@dtcc.com (212-855-5702) & LeeAnne.Calciano@jpmorgan.com (347-643-1920) Fax# (347) 750-1781

Send Payment to: **Customer Account Transfer Division**
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New York, New York 10274

Please reserve _____ ticket(s) @\$100.00 each. Enclosed is \$ _____

Attendee Name(s): _____

Firm Name: _____

Firm # _____

Contact: _____

Phone: _____

E-Mail: _____